NAVAL OCEAN RESEARCH AND DEVELOPMENT ACTIVITY NSTL S--ETC F/6 8/10 OCEANOGRAPHIC MANAGEMENT AND INFORMATION SYSTEM (OMIS): THE NAV--ETC(U) SEP 80 S WASOWSKI NORDA-TH-67 AD-AU91 186 UNCLASSIFIED Lor L END DATE 12 80 btic

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Oceanographic Management and Information System (OMIS):

The Navy Oceanographic Program, Database

S. Wasowski



**Environmental Requirements and Program Analysis Group** 

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**Naval Ocean Research and Development Activity** 

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### **ABSTRACT**

The Navy's Oceanographic Program (NOP) contains many diverse projects in a wide variety of scientific and technological disciplines. The NOP database is a collection of information pertaining to the current projects of the Navy's oceanographic community, the objective of the database is to provide an information tool to management. Data elements include project title, performing organization and principal investigator, sponsor, program element funding, and a classification of the project's purpose using a closed vocabulary keyword system.

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### INTRODUCTION

The Navy's Oceanographic Program contains many diverse projects in a wide variety of scientific and technological disciplines. The task of overseeing such a program effectively is challenging. To make this task more manageable, the Oceanographer of the Navy established the requirement for an Oceanographic Management Information System (OMIS). This system is to be comprised of several subsets, one of which, the Navy Oceanographic Program Database, is the subject of this paper.

The purpose of this report is to make the existence of this database known to scientists and management of NORDA. This is the first step in evaluating the inhouse utility and effectiveness of this capability.

NAVY OCEANOGRAPHIC PROGRAM: OVERVIEW

The Navy Oceanographic Program (NOP) Database is a collection of information pertaining to the current projects of the Navy's Oceanographic community. The objective of the Database is to provide an information tool to management which can be used to examine the Program for response to requirements, redundancies, cost effectiveness and a variety of other management questions.

Data elements include project title, performing organization and principal investigator, sponsor, program element funding and a classification of the project's purpose using a closed vocabulary keyword system (see Appendix 1). This feature is particularly useful since the same closed vocabulary keyword system is employed in the Navy Oceanographic Requirements (NOR) Database. The commonality of the keyword vocabulary in both systems provides the desired link between programs and requirements.

The initial information set, excluding the keywords, is obtained from the Defense Technical Information Center (DTIC) via the Work Unit Assignment Summary (DD Form 1498). This dataset is checked for completeness by totaling the funding from individual work units and comparing them to totals from higher level summaries, e.g., program element totals. Any deficiencies are noted and corrections are made at this time. The keyword information is supplied by an analyst with experience in the subject matter of the work unit. The entire information set is then input into a general purpose computerized database management system for storage and retrieval.

NOP DETAILED VIEW

### The Software

The NOP Database is operated under the "CREATABASE" software system which is proprietary to the Daniel Analytical Services Corporation. CREATABASE is part of an extensive information management and analysis system and represents the data storage and retrieval capabilities of the system. The organization of the data files is essentially relational, which means that any data element of a record may be searched, rather than just "keyed" elements. In addition, the system is extremely flexible, in that data elements may be changed or new data elements defined for the database without necessitating a major reprogramming effort. These characteristics were deemed essential for keeping track of a dynamic entity, such as the Navy's Oceanographic Program, without devoting an inordinate amount of resource to reprogramming or "freezing" the system at a particular state and forcing all subsequent information to conform to that system.



CREATABASE allows three types of data elements, a NAME type data element for alphanumeric or text information, a FROM-TO type data element for numeric information and a CODE type data element for data which exist only in specific states (for example, classified or unclassified).

A record consists of a set of data elements. Any record or set of records may be isolated from the database if the information in that record matches the logical constraints of a user-defined query. A query specifies a data element or collection of data elements and the value, set of values, or range of values which the data element must have in order to be selected. Some examples of queries and system responses are given in the section on Data Elements. The logic of the selection criteria in the query as well as the length of the query are not constrained by the system. This gives the user a tremendous amount of flexibility in designing a query.

The basic display of CREATABASE is just a listing of selected data elements from records isolated by a query. This may be a limitation initially; however, the capability exists for passing the selected information to external routines for additional processing. Thus, the requirement, for example, for a regular report formatted with column headings and including subtotals and totals could be readily accommodated. In addition a graphics package is associated with the overall system, which also enhances the output capabilities. Thus, the apparent limitation in the display capability of CREATABASE itself is not considered a serious shortcoming.

CREATABASE allows for the timely initiation of a reasonably sophisticated information system, and also allows that system to grow and develop as the requirements on that information system change.

### The Data Elements

TOOL ATTONO

As outlined in the overview, the data elements for the NOP cover items that describe the projects, their resource utilization, sponsoring and performing organizations, and a classification of the project. These areas are covered in forty-eight data elements described in Table 1.

Any of these data elements can be searched. In addition, any combination of data elements with their associated qualifications or restrictions may be searched and, in turn, any of the data elements can be displayed. Since the information in the DATABASE is continually being updated, any examples listed here may no longer reflect accurately the present program. However, for the purpose of illustration several sample queries are included here which reflect the state of the database on 14 November 1979. These queries are given to show some of the system's capabilities for producing answers to real questions.

Example 1: Produce a listing of principal investigator and performing organization for ongoing projects at the Naval Postgraduate School.

SORT AND PRINT (PRINCIPAL INVESTIGATOR, PERFORMING ORGANIZATION) FOR PROJECTS WITH PERFORMING ORGANIZATION, NAVAL POSTGRADUATE SCHOOL\*

TOUTHITONS	TOTAL	PERCENTAGE	
5	475	1.05	
HADERLIE E. C.		NAVAL POSTGRADUATE S	CHOOL
MEDWIN H.		NAVAL POSTGRADUATE S	CHOOL
RENARD R. J.		NAVAL POSTGRADUATE S	CHOOL
WILLIAMS R. T.		NAVAL POSTGRADUATE S	CHOOL

DEDCEMENCE



Table 1. Data Elements for NOP DATABASE

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Data Element Sequence Number	Data Element	Type	Format	Description
-	SUMMARY SECURITY	CODE	A1	U, C, S or T referring to classification level of source material
<	WORK SECURITY	CODE	A1	U, C, S or T referring to classification level of the work being performed
٣	ID NUMBER	NUMERIC	. 91	The numeric part of the DTIC accession number, or other numeric identifier
<b>3</b>	PROJECT TITLE	TEXT	A72	Title given to project (1st 72 characters if title is longer than that)
5	PERFORMING ORGANIZATION	TEXT	A72	Name of organization where work is being done
9	PRINCIPAL INVESTIGATOR	TEXT	A72	Name of principal investigator
۰ 3	PI AREA CODE	NUMERIC	13	Area code of principal investigator's phone number
Φ	PI PHONE	NUMERIC	17	Principal investigator's phone number
6	SPONSOR	TEXT	A72	Organization providing funds to support work
10	CONTACT PT-SPONSOR	TEXT	A72	Person at above organization who is responsible for funding
11	CP AREA CODE	NUMERIC	13	Area code of sponsor's phone number
12	CP PHONE	NUMERIC	17	Sponsor's phone number
13	PROGRAM ELEMENT	NUMERIC	I5	Five numeric digits of the program element providing funding for the work
14	PROJECT	ALPHANUMERIC	A72	Project designator within the program element
15	TASK AREA	ALPHANUMERIC	A72	Task area designator within the project
16	FISCAL YEAR	NUMERIC	12	Fiscal year of the funding

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Description	Funding in thousands of dollars for Fiscal Year listed in item 16 and the prior and following years	Number of professional manyears allocated to the project for the fiscal year listed in item 16 and the fiscal year before and after it	Name of person who collected and evaluated the information	Up to three selections from the "PROJECT" THRUST" category of the oceanographic MIS DATA SHEET*	Up to three selections from the "LOCATION" category	Up to three selections from the "MAJOR AREA" category	Up to four selections from the "FIRST LEVEL SUB AREA" category	Up to four selections from the "SECOND LEVEL SUB AREA" category
Format	F6.1 F6.1 F6.1	F5.1	A72	A72 A72 A72	A72 A72 A72	A72 A72 A72	A72 A72 A72 A72	A72 A72 A72 A72
Type	NUMERIC NUMERIC NUMERIC	NUMERIC NUMERIC NUMERIC	TEXT	TEXT TEXT TEXT	TEXT TEXT TEXT	TEXT TEXT TEXT	TEXT TEXT TEXT TEXT	TEXT TEXT TEXT TEXT
Data Element	\$ LAST FY \$ THIS FY \$ NEXT FY	WORK YEARS FY-1 WORK YEARS FY WORK YEARS FY+1	REVIEWER	PROJECT THRUST THRUST 2 THRUST 3	MODIFIER MOD 2 MOD 3	MAJOR AREA MAREA 2 MAREA 3	SUB-AREA 1 SUB 2 1 SUB 3 1 SUB 4	SUB-SUB-AREA 2 SUB 2 2 SUB 3 2 SUB 4
Data Element Sequence Number	17 18 19	20 21 22	23	24 25 26	27 28 29	33 33 35 35	% 32 m	33 34 40

The Oceanographic MIS Data Sheet is included as Appendix 1.

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Table 1 (con't)

Description	Comments referring to accuracy/completeness of source	Year, month and date when the information was entered into the DATABASE (YYMMDD format)	Allows for simultaneous searching or listing of items 24, 25 and 26	Allows for simultaneous searching or listing of items 27, 28 and 29	Allows for simultaneous searching or listing of items 30, 31 and 32	Allows for simultaneous searching or listing of items 33, 34, 35 and 36	Allows for simultaneous searching or listing of items 37, 38, 39 and 40	State (or country) in which work is being performed
Format	A72	16	<b>A</b> 72	A72	A72	A72	A72	A72
Type	TEXT	NUMERIC	LINK	LINK	LINK	LINK	LINK	TEXT
Data Element	1498 COMMENTS	DATE REVIEWED	THRUST	MOD	AREA	SUB	2 SUB	PERFORMING STATE
Data Element Sequence Number	11	24	143	<b>ग</b> च	45	9# 5	Ĺπ	811

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Example 2: This example illustrates the use of descriptor numbers instead of descriptor names in the query.

SAP (6, 5) FOR WITH 5, NAVAL POSTGRADUATE SCHOOL\*

ISOLATIONS
TOTAL
5
475
PERCENTAGE
1.05

HADERLIE E. C.
MEDWIN H.
NAVAL POSTGRADUATE SCHOOL
NAVAL POSTGRADUATE SCHOOL
NAVAL POSTGRADUATE SCHOOL
WILLIAMS R. T.
NAVAL POSTGRADUATE SCHOOL
NAVAL POSTGRADUATE SCHOOL

NOTE: When the output is sorted, duplicates are suppressed so R.J. Renard only appears once, even though there are two projects associated with him.

Example 3: This example illustrates the use of HOLD to indicate the same records selected in the previous query.

PRINT (PROJECT TITLE, PRINCIPAL INVESTIGATOR) FOR WITH HOLD\*

U OCEAN PARAMETERS AFFECTING SOUND PROPAGATION
U BIOLOGY OF WOOD & STONE BORERS IN DEEPER WATER OF MONTEREY BAY
MARINE FOG FORECASTING
NUMERICAL MODELING OF UNIQUE ATMOSPHERIC PHENOMENA
APPLICATION OF MODEL OUTPUT STATISTICS

MEDWIN H.
HADERLIE E.C.
RENARD R.J.
WILLIAMS R.T.
RENARD R.J.

ISOLATIONS TOTAL PERCENTAGE 5 475 1.06

Example 4: This example illustrates the use of SAME to produce the same format print output as the previous query.

PRINT SAME FOR WITH PERFORMING ORGANIZATION, NRL\*

EFFECTS OF BASALT BOUNDARY ON LOW FREQUENCY REFLECTIVITY SOUND SOURCE FOR 1 TO 10 HZ RANGE STRATOSPHERIC GLOBAL PREDICTION MODEL TROPICAL CYCLONE DYNAMICS PREDICTION MODEL ROUGH START DATA REVIEW

ISOLATIONS TOTAL PERCENTAGE 5 475 1.05

Example 5: This example illustrates the use of the STATISTICS command to provide information on numeric descriptors.

STATISTICS \$ LAST FY, \$ THIS FY FOR THOSE ENTRIES IN CALIFORNIA -- WITH PERFORMING STATE, CA\*

ISOLA	130	475	27.37			
DSN	MINIMUM	MAXIMUM	FREQ	SUM	MEAN	STD DEV
17	.0	3721.0	114	17955.6	157.51	446.19
18	.0	3402.0	124	20514.8	165.44	419.29



Example 6: This example illustrates the use of multi-element Boolean expression in the selection criteria.

SORT AND PRINT PERFORMING ORGANIZATION, (PRINCIPAL INVESTIGATOR, \$ THIS FY) (PROJECT TITLE) (SPONSOR, PROGRAM ELEMENT) FOR PROJECTS WITH THRUST, MEASUREMENTS AND SUB, BIOLOGICAL AND \$ THIS FY, FROM 20.0 TO 40.0\*

**ISOLATIONS** 

TOTAL

PERCENTAGE

11

475

2.32

COLUMBIA UNIVERSITY

BROECKER W.S.

40.0 K\$

BENTHIC BIOTURBATION IN DEEP OCEAN SEDIMENTS

ONR

61153

FLORIDA STATE UNIVERSITY

THISTLE D.

22.0 K\$

ELEMENTS OF BIOTURBATION

ONR

61153

NAVAL POSTGRADUATE SCHOOL

HADERLIE E.C.

22.6 K\$

U. BIOLOGY OF WOOD & STONE BORERS IN DEEPER WATER OF MONTEREY BAY
ONR 61153

ONR
SCRIPPS INSTITUTE OF OCEANOGRAPHY

MULLIN M.M.

23.0 K\$

PLANKTON PATCHINESS RELATIVE TO HYDROGRAPHY & BIOLOGY

ONR

61153

PINKEL ROBERT

25.0 K\$

MID SCALE ZOOPLANKTON PATCHINESS

ONR

61153

TEXAS A&M RESEARCH FOUNDATION

SCHWARTZ J.R.

34.0 K\$

U NAVY ENVIRONMENT MICROBIAL PRODUCTION OF NONCONSERVATIVE GASSES
ONR
61153

T.C.U.

BRITTON J.C.

38.0 K\$

U NAVY ENVIRONMENT CRITICAL LIFE CYCLE STAGES OF THE FOULING CLAM CORBICULA ONR 61153

UNIVERSITY OF MIAMI

GERCHAKOV S.M.

32.0 K\$

U NAVY ENVIRONMENT RES. ON EFFECTS OF METABOLIC PRODUCTS OF BACTERIA ON METAL ONR 61153

UNIVERSITY OF WASHINGTON

SCHOENER AMY

22.3 K\$

ASPECTS OF TEMPORAL & SPATIAL VARIABILITY OF SUCCESSION

ONR

WOODS HOLE OCEANOGRAPHIC INSTITUTE

MANN R.

27.0 K\$

U NAVY ENVIRONMENT WOOD PROTECTION SHIPWORM ENERGY BUDGETS

ONR

SCHELTEMA R.S.

40.0 K\$

U PELAGIC DEVELOPMENT & SETTLEMENT BY LARVAE OR MARINE FOULING ORGANISMS

As can be seen from the examples, the print output can be quite extensive or very brief, as required. Also the Boolean Expression used as the selector criteria can be very complex.

### Data Sources

The first line source for information relating to the Navy's Oceanographic Program is the Defense Technical Information Center (DTIC). The Research and Development Activities in the Navy regularly report their work to DTIC in their Work Unit Assignment Summaries (DD Form 1498). DTIC can furnish information on all work which has been reported to it and included in the computerized on-line system. A major limitation of the DTIC system is that since it is responsible for maintaining information for all Department of Defense sponsored activities, it must rely on the input from those activities. In addition, since the volume of information is so great, very little front end editing or quality control can be done on the input data without causing a tremendous backlog on data entry. The major advantage of the system described here is that it is concerned with the Oceanographic Program of the Navy. Analysts who review the inputs for accuracy and completeness are familiar with various aspects of the Navy's program from years of involvement. They can bring this expertise to the analysis and interpretation phase and can also use more direct sources of information to assess whether the work reported by DTIC represents a complete information set. Pursuing the information along these various avenues, the analysts can fill in the gaps in the reported information and significantly enhance the completeness of the database.

### Retrieval and Access Methods

Some specifics of the retrieval process were illustrated in the examples given in the section on data elements. The underlying software system, in order to keep computer overhead to a minimum, does not perform an elaborate set of checks on the user to ascertain whether the operations he is attempting are the ones which are actually intended. In other words, the software system assumes a friendly user who is familiar with the system's operation. Because of this lack of systems checks, an unfamiliar user could easily wipe out an entire dataset by executing the wrong command. To avoid this, and to allow access to the information to outside users without first having to learn the system, access to the system's information is through a human interface. A prospective user of the information would call up NORDA Code 115 and describe the information desired. The query would then be processed and the reply furnished by a return phone call or other means if the information was such that the telephone was inappropriate (e.g., for security reasons). Thus, the user need only know what information he requires in order to exercise the system.

When system usage becomes heavy enough to warrant the development of a direct user access capability, the present access system will be re-evaluated. The major objective of this system is to make programmatic information available to management level personnel in a manner timely enough to be of significant use in their decision-making process.

To request more information about the system, or to query the database, correspondence should be directed to:

Commanding Officer Naval Ocean Research and Development Activity Attn: Code 115 NOP DATABASE NSTL Station, MS 39529

or telephoned:

A/V 485-4887 FTS 494-4887 Commercial 601-688-4887



## Appendix 1

Oceanographic Management Information

System Data Sleet

and

Research and Technology Work Sheet Summary (DD Form 1498)

# OCEANOGRAPHIC MANAGEMENT INFORMATION SYSTEM DATA SHEET

MIS I.L. NUMBER ACCESSION NUMBER KEYWORD LIST---circle those which apply PROJECT THRUST Software Models Measurements Fleet Exercise Support Analysis Survey Hardware Theory Prediction WARFARE AREA SUPPORTED (cc)  $c^3$ (AS) Anti-submarine Warfare (AA) Anti-air EW (SH) Anti-surface Ship (CS) Surveillance (SL) Logistics Strike (MW) Mine Warfare Intelligence (SW) Special (Inshore, Riverine, (AW) Amphibious (SB) Seabased Strategic Beach Recco, e.g.) (PN) Personnel-medical C. MAJOR AREA Cartography Oceanography Administration Special Programs \_ Acoustics Special Areas Operations Geology/Geophysics Meteorology Hydrography Documentation/Planning Remote Sensing I. FIRST LEVEL SUB AREA GEOLOGY/GEOPHYSICS/CARTOGRAPHY OTHER ACOUSTICS THANGGRAPHY/METEOROLOGY Indian Ocean Sea Floor Structure Transmission Fielogical Southern Sediment Tynamics Reverberation Themical Hemisphere Sea Floor Accustics Ambient Noise Physical Arctic-Antarctic Gravity Bottom Interaction Lynamic/Symoptic Coastal -Shallow Magnetics Measurement Systems Engineering-construction Straits Bathymetry Low Frequency living-medical Other Special Area Seismic Profiling Other Oceanographic Other Meteorologic Mid Frequency Satellite Navigation High Frequency Communications Other Geologic Other Acoustic Climatelogy Instrumentation Other Remote Sens. Display F. OF COMPONENTED SOF AREA Cloud Cover Direct Transmission Horizontal Noise mir=sea interaction Fog Duct Transmission Vertical Noise iurface Waves/seastate Half Channel Trans. Atmos. Ducting Depth Dependence Jourd Velwity E.M.I. RAP Mode Trans. Noise Fluctuations .alimity/ orductivity Convergence Zone Bottom Transmission Wind Noise Coherence Terperature Storms Man-Made Noise urrents Signal Fluctuations Natural Noise .i.s - atimulties Signal Coherence Other Noise Internal Waves Signal Characteristics Vertical Chear Other Transmission E. CANA PLACE IN WATER CO. MN AIM CHERRY REGIME PLACE IN OPHERE Walter FRIE Lower Near Durface ":i Lepths In pusphere egyt al og slovet Resit Modelarit Middle Strat sphere pper taran Pitter 1. n. sphere \*\*\*\* THE R N. T. AFFI I WHIFE Literary of the 

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The Navy's Oceanographic Program (NOP) contains many diverse projects in a wide variety of scientific and technological disciplines. The NOP database is a collection of information pertaining to the current projects of the Navy's oceanographic community, the objective of the database is to provide an information tool to management. Data elements include project title, performing organization and principal investigator, sponsor, program element funding, and a classification of the project's purpose using a closed vocabulary keyword system.

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